

11-6-02

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

	11	N THE UNITED STATES P	ALENI AND IKADEMAKK OFFICE			
Applic	ant: John	а Вагту	: Group Art Unit: 2161			
Serial No. 09/715,958			Examiner:			
Filed:	Novembe	er 15, 2000	: Atty. Dkt. No.: 8874/134			
For:		AL VENDOR FINANCING EM AND METHOD	: : CERTIFICATE OF MAILING			
			I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on October 23, 2002.  Patrick W. Rasche, Reg. No.: 37,916			
		INFORMATION I	DISCLOSURE STATEMENT			
1.	[ ]	Pursuant to 37 CFR 1.97(b) [within 3 months of filing or prior	r to 1st Office Action]			
2.	[ 🗸 ]	[within 3 months of filing or prior to 1st Office Action]  Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]  Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]  Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97 (d)				
3.	[ ]	Fee Payment Pursuant to 37 CFR [before Final Office Action or All	1.97(c) GROUP 3600			
4.	[ ]	Petition, Certification & Petition I [before Issue Fee payment]	Fee Payment Pursuant to 37 CFR 1.97 (d)			
	Commissiongton, D.C	oner for Patents C. 20231				
Sir:						
The fo	llowing a	re submitted in the above identified	application in compliance with 37 CFR 1.97 & 1.98:			
5.	✓_		-1449 together with copies of each identified document and a on of each non-English language document is enclosed herewith.			
This Pa	aper is sul	bmitted in accordance with:				
6.		37 CFR 1.97(b): [within 3 months	s of filing or prior to 1st Office Action]			
7.	<u> </u>	37 CFR 1.97(c): [before Final Off	fice Action or Allowance, whichever is earlier]; and			
	8.	The required certification	n made in item 11 below; or			

	9.	The \$180 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.					
10.		37 CFR 1.97(d): [before issue fee payment]; and					
	_	This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention GROUP DIRECTOR, and if applicable include batch locator information: e.g., "Allowed Files, Batch, Date of Allowance "), and					
		b) The required Certification is stated in item 11 below.					
11.	<u> </u>	Certification					
	12.	Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or					
	13.	No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.					
14.	✓	Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384. An original and two copies of this document is enclosed.					

Respectfully submitted,

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Substitute for form 1449A/PTO				Complete if Known			
annu i		16	ICODMATION	Application Number	09/715,958		
RPLEMENTAL INFORMATION  OF STREET OF THE PROPERTY OF THE PROPE				Filing Date	November 15, 2000		
STAT	EMENT B	Y	APPLICANT	First Named Inventor	John Barry		
~ 9				Group Art Unit	2161	•	
(use as many sheets as necessary)				Examiner Name			
Shedi 1		of	1	Attorney Docket Number	8874/134		

				U.S. PATENT DOCUMEN	rs	
Examiner Initials*		U.S. Patent Docur	Kind Code <sup>2</sup>	Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<del></del>	AA	5,611,052	(if_known)	Dykstra, et al.	03/11/1997	
	AB	5,774,883		Andersen, et al.	06/30/1998	DE
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FOREIGN PATENT DOCUMENTS									
Examiner	Cite	Foreign Patent Document			Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Ţ	
Initials*	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	MM-DD-YYYY	or Relevant Figures Appear	igspace	
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OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Items (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
	ВА	International Search Report (5 pgs.) of International Application No.: PCT/US01/44150; Applicant: HELLER FINANCIAL, INC.; International Filing Date: 14 November 2001.					
	ВВ	.www.lucent.com; (LUCENT TECHNOLOGIES INC.); Newcourt signs expanded vendor financing arrangement with Lucent Technologies; 11 March 1998; (2 pgs.)					
	ВС	.www.monitordaily.com; CONROY, Tim; Global Alliances & Vendor Financing Success is in the Details; MONITOR LEASING & Financial Services March 2001, (2 pgs.)					
	BD	www.asiapac.alcatel.com; (ALCATEL CORP.) Alcatel expands innovative global vendor finance securitization; 12 October 2000; (2 pgs.)					

Examiner Signature	Da	ate onsidered:	

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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a checkmark here if English language Translation is attached.